



**COLLEGE OF BUSINESSES AND ECONOMICS  
JOHANNESBURG BUSINESS SCHOOL  
DEPARTMENT OF BUSINESS MANAGEMENT  
  
FINAL SUPPLEMENTARY ASSESSMENT**

**SUBJECT:** Corporate Governance & Compliance Management  
**CODE:** HC1CGCM  
**DATE:** 9 January 2019  
**TIME ALLOWED:** 120 Minutes  
**TOTAL MARKS:** 100

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**ASSESSORS:** Mr D Le Roux  
**MODERATOR:** Me Suzette Van Zyl  
**NUMBER OF PAGES:** 3

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**INSTRUCTIONS:**

1. This is a closed-book assessment.
2. Question papers must be handed in together with your answer books.
3. Read the questions carefully and answer only what is asked.
4. Answer all the questions:
5. Number your answers clearly.
6. Write neatly and legibly on both sides of the paper in the answer book, starting on the first page.
7. Structure your answers by using appropriate headings and subheadings.
8. The general University of Johannesburg policies, procedures and rules pertaining to written assessments apply to this assessment.

**Question 1** [12]

Discuss the differences between an Executive Director, Non-Executive Director and Independent Non-Executive Director

**Question 2** [4]

Name 4 ways in which companies are governed in South Africa.

**Question 3** [10]

Only name 5 King IV™ principles

**Question 4** [10]

Name 5 core duties and responsibilities of the Chairperson of the Board

**Question 5** [4]

Name the two distinct categories of duties Directors have.

**Question 6** [5]

Is there a distinction between the chairperson and chief executive officer?

**Question 7** [2]

To whom is the board accountable?

**Question 8** [10]

Name 5 types of directors that can serve on a board of directors.

**Question 9**

**[10]**

How does authority flow within a company?

**Question 10**

**[18]**

Name and shortly describe 4 committees that can be formed by the board of directors.

**Question 11**

**[15]**

Name and describe 5 different business entities found in South Africa and shortly discuss each entity.

**[ 100 ]**